

2637/DFW



Patent
PD-201014
Customer No. 020991

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Ernest C. Chen

Serial No.: 10/532,619
371(c) Date: April 25, 2005

For: MAXIMIZING POWER AND
SPECTRAL EFFICIENCIES FOR
LAYERED AND CONVENTIONAL
MODULATIONS

Group Art Unit: 2637
Examiner: PATEL, Jayanti K.

: I hereby certify that this correspondence is
: being deposited with the United States Postal
: Service with sufficient postage as first class
: mail in an envelope addressed to:

: Mail Stop Amendment, Commissioner for
: Patents, P. O. Box 1450, Alexandria, VA
: 22313-1450, on the date below.

: 

: Georgann S. Grunebach
: Typed or printed name of person signing certificate

: Date: March 10, 2006

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:


The references cited on the enclosed form PTO/SB/08A may be relevant or material to the examination of the above-identified patent application and are, therefore, submitted in compliance with the duty of disclosure defined in 37 CFR 1.56. It is respectfully requested that the references be considered and made of record in the application identified above.

This statement should be considered because it is being submitted before the mailing of a first Office action on the merits under 37 C.F.R. §1.97(b)(3). Accordingly, it is submitted that no fee is due in this matter.

However, if it is determined that any appropriate fee is due, the Commissioner is hereby authorized to charge Deposit Account No. 50-0383 of Hughes Electronics Corporation, El Segundo, California.

This Information Disclosure Statement is not to be construed as a representation that a search has recently been made, that additional information material to the examination of this application does not exist, or that this citation constitutes prior art.

Respectfully submitted,

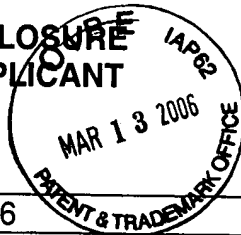
By: 
Georgann S. Grunebach, Reg. No. 33,179

The DIRECTV Group, Inc.
RE/R11/A109
P. O. Box 956
El Segundo, CA 90245

Telephone No. (310) 964-4615

March 10, 2006

Substitute for form PTO/SB/08A

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

Date: March 10, 2006

Sheet 1 of 3

Complete if Known

Application Number	10/532,619
371(c) Filing Date	April 25, 2005
First Named Inventor	Ernest C. Chen
Art Unit	2637
Examiner Name	PATEL, Jayanti K.

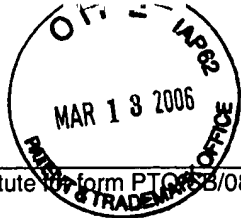
Attorney Docket Number	PD-201014
------------------------	-----------

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No.	Document Number Number-Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-6,731,700	05-2004	Yakhnich et al.	
		US-6,411,797	06-2002	Estinto, Eugene	
		US-2002/0071506	06-2002	Lindquist et al.	
		US-6,032,026	02-2000	Seki et al.	
		US-5,603,084	02-1997	Henry et al.	
		US-6,389,002	05-2002	Schilling, Donald L.	
		US-6,178,158	01-2001	Suzuki et al.	
		US-6,718,184	04-2004	Aiken et al.	
		US-6,501,804	12-2002	Dietmar et al.	
		US-6,745,050	06-2004	Forsythe et al.	
		US-5,625,640	04-1997	Palmer et al.	
		US-6,597,750	07-2003	Knutson et al.	
		US-6,433,835	08-2002	Hartson et al.	
		US-4,800,573	01-1989	Cupo, Robert L.	
		US-6,266,534	07-2001	Raith et al.	
		US-5,467,197	11-1995	Hoff, Don G.	
		US-5,430,770	07-1995	Abbey	
		US-5,966,412	10-1999	Ramaswamy	
		US-5,329,311	07-1994	Ward et al.	
		US-5,450,623	09-1995	Yokoyama et al.	
		US-5,966,186	10-1999	Shigihara et al.	
		US-6,028,894	02-2000	Oishi et al.	
		US-6,034,952	03-2000	Dohi et al.	
		US-6,108,374	08-2000	Balachandran et al.	
		US-6,140,809	10-2000	Doi	
		US-6,219,095	04-2001	Zhang et al.	

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Substitute for form PTO/SB/08A

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known

Application Number	10/532,619
371(c) Filing Date	April 25, 2005
First Named Inventor	Ernest C. Chen
Art Unit	2637
Examiner Name	PATEL, Jayanti K.

Date: March 10, 2006

Sheet 2 of 3

Attorney Docket Number PD-201014

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No.	Document Number Number-Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-6,275,678	08-2001	Bethscheider et al.	
		US-3,383,598	05-1968	Sanders	
		US-5,577,087	11-1996	Furuya	
		US-5,602,868	02-1997	Wilson	
		US-5,815,531	09-1998	Dent	
		US-5,960,040	09-1999	Cai et al.	
		US-6,987,068	11-1999	Cassia et al.	
		US-5,579,344	11-1996	Namekata, Minoru	
		US-5,121,414	06-1992	Levine et al.	
		US-6,144,708	11-2000	Maruyama, Hidenori	
		US-6,055,278	04-2000	Ho et al.	
		US-6,330,336	12-2001	Kasama, Minoru	
		US-2005/008100	01-2005	Chen, Ernest C.	
		US-2002/176516	11-2002	Jeske et al.	
		US-6,304,594	10-2001	Salinger, Sheldon N.	
		US-5,493,307	02-1996	Tsujimoto, Ichiro	
		US-5,956,373	09-1999	Goldston et al.	
		US-6,535,497	03-2003	Raith, Alex Krister	
		US-6,515,713	02-2003	Nam, Ho Jun	
		US-6,320,919	11-2001	Khyrallah et al.	
		US-3,974,449	08-1976	Falconer, David Duncan	
		US-3,879,664	04-1975	Monsen, Peter	
		US-4,253,184	02-1981	Gitlin et al.	
		US-5,233,632	08-1993	Baum et al.	
		US-6,775,521	08-2004	Chen, Yue	
		US-3,878,468	04-1975	Falconer et al.	

Examiner
Signature

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Substitute for Form PTO/SB/08A

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

Complete if Known

Application Number	10/532,619
371(c) Filing Date	April 25, 2005
First Named Inventor	Ernest C. Chen
Art Unit	2637
Examiner Name	PATEL, Jayanti K.

Date: March 10, 2006

Sheet 3 of 3

Attorney Docket Number PD-201014

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.	Foreign Patent Document Country Code-Number-Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		EP 0929164	07-1999	Societe Europeene des Satellites		
		WO 02/073817	09-2002	Nokia Corporation		
		EP 0356096	02-1990	Meyers		
		EP 0238822	09-1987	NEC Corporation		
		WO 99/20001	04-1999	Silberger et al.		

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Substitute for form PTO/SB/08B

Complete if Known

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

Application Number	10/532,619
Filing Date	April 25, 2005
First Named Inventor	Ernest C. Chen
Art Unit	2637
Examiner Name	PATEL, Jayanti K.

Date: March 10, 2006

Sheet 1 of 1

Attorney Docket Number PD-201014

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
		MEYR, HEINRICH et al.; "Digital Communication Receivers: Synchronization, Channel Estimation, and Signal Processing"; 1998, John Wiley & Sons, Inc.; pages 212-213 and 217-218; XP002364874	
		MEYR, HEINRICH et al.; "Digital Communication Receivers: Synchronization, Channel Estimation, and Signal Processing"; 1998, John Wiley & Sons, Inc.; pages 610-612; XP002364876	
		MAZZINI, GIANLUCA: "Power Division Multiple Access", Universal Personal Communications, 1998, ICUPC 1998, IEEE 1998, International Conference on Florence, Italy, 5-9 October 1998, New York, NY, US, IEEE, US 5 October 1998 (1998 10-5), pages 543-546, XP010314962 ISBN: 0-7803-5106-1	
		SALEH, A.A.M. et al.: "Adaptive Linearization of Power Amplifiers in Digital Radio Systems", Bell System Technical Journal, American Telephone and Telegraph Co., New York, US, Vol. 62, No. 4, Part 1, 1 April 1983 (1983-04-01), pages 1019-1033, XP002028354	
		RAMCHANDRAN, KANNAN et al.: "Multiresolution Broadcast for Digital HDTV Using Joint Source/Channel Coding, IEEE, Vol. 11, No. 1, January 1993, pp. 6-22	
		FANT, T. et al.; "Fourth-Power Law Clock Recovery with Prefiltering"; Proceedings of the International Conference on Communications (ICC); Geneva; May 23-26, 1993; New York; IEEE; US; Vol. 3; 23 May 1993; pages 811-815 XP010137089, ISBN: 0-7803-0950-2; Section I, Introduction	

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.